

Energy Management Systems for Microgrids

Claudio Cañizares Department of Electrical & Computer Engineering Power & Energy Systems (<u>www.power.uwaterloo.ca</u>) WISE (<u>www.wise.uwaterloo.ca</u>)

With content and slides from Dr. Daniel Olivares, former MASc student Jose Daniel Lara, Dr. Mehrdad Pirnia and PhD student Bharat Solanki.



Microgrid Symposium, Niagara Falls, Oct. 20, 2016

Outline

- Kasabonika Lake First Nation (KLFN) community microgrid data collection
- Energy Management Systems (EMS) overview
- EMS models:
 - Deterministic
 - Uncertainty management:
 - Stochastic programming
 - Robust optimization
 - Affine arithmetic
- Conclusions



Acknowledgements







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- Community:
 - Approximately 900 people.
 - 500 km north of Thunder Airport Bay.
 - Winter-road access.
- Electricity generation:
 - 0.4 MW, 0.6MW, and 1 MW diesel generator in operation.
 - 1.6 MW diesel generator planned.
 - 3x 10 kW Bergey WTs.
 - 1x 30 kW Wenvor WT.
 - 10 kW solar PV array.





Diesel generators 400kW, 600kW & 1MW Wind turbines 1x 30kW Wenvor 3x 10kW Bergey



Town

- Local grid dataloggers:
- 1. Diesel generator plant.
- 2. 3x Bergey WTs.
- 3. Store.
- 4. Water treatment plant.

Dent meters:

- 5. Sewage plant.
- 6. School.
- 7. Police station.
- 8. Nursing station.
- 9. Wenvor WT.

Laptop dataloggers:

10. 13 Houses across the community.





- Data summary:
 - Dataloggers collected information for approximately one year.
 - Some information missing but a representative sample for all locations has been collected.





| <mark>Diesel generator plant</mark> RN1 Channel 1 - Lower load | | Percetile | | | | | | | | | | | | |
|---|-------|-----------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Timeframe: Jun/14 - Oct/14 | | | | | | | | | | | | | | |
| | | 50th | st | 5th | | | th | 75t | :h | 95th | | 99th | | |
| | | | | % | | % | | % | | % | | % | | % |
| Description | Units | Value | Value | w.r.t. |
| | | value | value | 50th |
| | | | | per. |
| Active Power_A+B+C | kW | 458.41 | 206.32 | -55.0% | 231.29 | -49.5% | 327.44 | -28.6% | 527.86 | 15.1% | 622.19 | 35.7% | 678.74 | 48.1% |
| Reactive Power_A+B+C | kVAR | 78.69 | 36.34 | -53.8% | 43.59 | -44.6% | 56.42 | -28.3% | 91.87 | 16.8% | 105.38 | 33.9% | 115.17 | 46.4% |
| Voltage_A_RMS | V | 605.09 | 598.09 | -1.2% | 599.96 | -0.8% | 602.76 | -0.4% | 607.48 | 0.4% | 611.87 | 1.1% | 620.35 | 2.5% |
| Voltage_B_RMS | V | 600.01 | 593.97 | -1.0% | 596.18 | -0.6% | 598.44 | -0.3% | 601.88 | 0.3% | 605.84 | 1.0% | 614.22 | 2.4% |
| Voltage_C_RMS | V | 593.58 | 587.05 | -1.1% | 588.31 | -0.9% | 591.48 | -0.4% | 596.43 | 0.5% | 599.41 | 1.0% | 603.06 | 1.6% |
| Frequency_A | Hz | 60.06 | 59.94 | -0.2% | 59.97 | -0.1% | 60.01 | -0.1% | 60.09 | 0.1% | 60.12 | 0.1% | 60.15 | 0.1% |
| Total power factor | - | 0.99 | 0.97 | -1.1% | 0.98 | -0.7% | 0.98 | -0.3% | 0.99 | 0.2% | 0.99 | 0.5% | 0.99 | 0.6% |
| Current A RMS | Α | 172.50 | 71.86 | -58.3% | 84.64 | -50.9% | 128.19 | -25.7% | 213.44 | 23.7% | 290.10 | 68.2% | 333.92 | 93.6% |
| Current B RMS | Α | 333.07 | 147.19 | -55.8% | 172.97 | -48.1% | 235.89 | -29.2% | 387.92 | 16.5% | 453.70 | 36.2% | 501.62 | 50.6% |
| Current C RMS | Α | 247.14 | 109.47 | -55.7% | 127.18 | -48.5% | 181.32 | -26.6% | 298.45 | 20.8% | 369.60 | 49.6% | 409.64 | 65.8% |
| Voltage Imbalance (neg seq) | V | 1.58 | 0.75 | -52.8% | 0.88 | -44.1% | 1.20 | -24.2% | 2.03 | 28.7% | 2.65 | 67.7% | 3.15 | 99.5% |
| Voltage Imbalance (zero seq) | V | 0.00 | 0.00 | -76.3% | 0.00 | -59.4% | 0.00 | -31.2% | 0.00 | 15.7% | 0.00 | 44.5% | 0.00 | 51.9% |
| Current Imbalance (neg seq) | Α | 18.70 | 3.56 | -81.0% | 5.98 | -68.0% | 12.35 | -34.0% | 22.39 | 19.7% | 26.97 | 44.2% | 30.26 | 61.8% |
| Current Imbalance (zero seq) | Α | 20.74 | 5.88 | -71.6% | 7.79 | -62.4% | 13.86 | -33.2% | 24.54 | 18.3% | 29.36 | 41.6% | 32.96 | 58.9% |
| Voltage_A_FFT_THD | - | 1.74 | 1.14 | -34.5% | 1.31 | -24.4% | 1.50 | -13.7% | 2.07 | 19.1% | 3.02 | 73.8% | 3.28 | 88.6% |
| Voltage_B_FFT_THD | - | 1.75 | 1.11 | -36.5% | 1.28 | -27.2% | 1.47 | -16.0% | 2.05 | 17.2% | 2.73 | 55.9% | 2.94 | 67.5% |
| Voltage_C_FFT_THD | - | 1.40 | 0.94 | -32.5% | 1.09 | -22.0% | 1.27 | -9.0% | 1.60 | 14.5% | 2.50 | 78.4% | 2.77 | 98.1% |
| Current_A_FFT_THD | - | 7.49 | 4.46 | -40.5% | 5.11 | -31.7% | 6.31 | -15.7% | 9.19 | 22.7% | 11.55 | 54.3% | 12.96 | 73.1% |
| Current_B_FFT_THD | - | 5.63 | 3.06 | -45.7% | 3.66 | -35.0% | 4.65 | -17.4% | 6.97 | 23.7% | 9.07 | 61.1% | 10.33 | 83.5% |
| Current_C_FFT_THD | - | 5.25 | 3.10 | -40.9% | 3.54 | -32.6% | 4.44 | -15.3% | 6.12 | 16.5% | 7.37 | 40.5% | 8.38 | 59.8% |



Energy Management Systems (EMS) Overview

• IEEE PES TF in Microgrid Control, "Trends in Microgrid Control," *IEEE Transactions on Smart Grid*, vol. 6, no. 4, July 2014, pp. 1905-1919:





EMS Overview





EMS Overview

- EMS objectives:
 - Find the optimal or near optimal unit commitment of units.
 - Find the optimal or near optimal dispatch of units.
 - Find the optimal or near optimal voltage settings.
- Challenges for EMS in microgrids:
 - Intermittent and hard to predict generation.
 - System states are coupled in time due to Unit Commitment (UC) decisions and Energy Storage Systems (ESS).
 - Multiple objectives (e.g. total cost, GHG emissions)
 - Multiple owners and sometimes conflicting objectives.



EMS Deterministic Model

- D. Olivares, C. A. Cañizares, and M. Kazerani, "A Centralized Energy Management System for Isolated Microgrids," IEEE Transactions on Smart Grid, vol. 6, no. 4, July 2014, pp. 1864-1875:
 - Objective:
 - Minimize fuel, start-up and shut-down costs, plus load shedding high costs if an option (simple DSM program).
 - Constraints:
 - Network equations using *abc* impedance matrix models for lines/cables plus KVL and KCL equations, with network current and voltage limits.
 - ZI load models per-phase.
 - Synchronous and induction generator models based on *dq0* steady state representation.
 - DER with VSC interface modeled as current injection model with limits and losses.
 - Energy storage models based on using a simplified book-keeping model for the State-Of-Charge (SOC), plus hydrogen-tank constraints for hydrogen storage.
 - Minimum up- and down-times, ram-up and -down limits, and a reserve constraint.
 - A Model Predictive Control (MPC) approach is used.



EMS Deterministic Model I



- Decoupled approach:
 - UC and Economic Load Dispatch (ELD) performed with different update rates.
 - Two different resolutions and horizons of forecast.
 - Multi-stage ELD to optimize ESS operation.
 - Delivers UC decisions and operating points to DERs (power output of DG, output/input of ESS, shiftable/shedable loads commands, etc.).
 - Detailed 3-phase model to represent unbalanced conditions typical of microgrids (distribution networks).



EMS Deterministic Model I Example





EMS Deterministic Model I Example



EMS Dispatch with Balanced Network Approximation



EMS Deterministic Model II

- Microgrid EMS (MEMS) considers:
 - Residential controllable loads.
 - Unit Commitment (UC) for Distributed Energy Resources (DERs) and power flow constraints simultaneously.
- A Neural Network (NN) based Residential Controllable Lod Porfile Estimator (RCLPE) is used to determine smart load models.
- MPC is used to account for uncertainties associated with renewables and electricity demand.

 B. V. Solanki, A. Raghurajan, K.
Bhattacharya, and C. A. Cañizares, "Including Smart Loads for Optimal Demand Response in Integrated Energy Management Systems for Isolated Microgrids," IEEE Transactions on Smart Grid, accepted November 2015, 10 pages:





EMS Deterministic Model II Example





| DR control [%] | Objective function [\$] | Energy served by ESS [kWh] | Energy curtailed [kWh] | Load factor | Peak demand [kW] |
|----------------------|-------------------------------|----------------------------------|------------------------------|----------------|------------------------|
| 0 | 83,781 | 3,037 | 528 | 0.580 | 7,575 |
| 20 | 62,447 | 2,870 | 351 | 0.589 | 7,431 |
| 40 | 42,464 | 2,808 | 185 | 0.6 | 7,287 |
| 60 | 25,099 | 2,760 | 41 | 0.611 | 7,141 |
| 100 | 19,941 | 2,416 | 0 | 0.631 | 6,851 |

MEMS: 24 h look ahead period

5 – 30 min

time steps

| 6 – | 15 | min | time | step |
|-----|----|-----|------|------|
| | | | | |

19-1 h time steps



EMS Deterministic Model II Example





Uncertainty Modeling in UC

- Uncertainty in the UC can be addressed in three ways:
 - Wait-and-see (deterministic with MPC/RCH models):
 - Close tracking of the problem with small time steps, solving the dispatch problem using the most current information, and including an explicit reserve requirement.
 - Assumes that point forecasts are accurate and the system natural reserve can handle the mismatches, otherwise shed load.





Uncertainty Modeling in UC

- Stochastic optimization:
 - Minimize the expected cost over a discrete representation of the uncertainty, leading to large-scale problems.
 - Accounts directly for the stochastic characteristic of wind power, improving the ability of the system to perform corrective actions without load shedding.
 - First stage variables provide probabilistic guarantee on the feasibility of all second stage expected outcomes.



Uncertainty Modeling in UC

- Intervals (robust and AA-based optimization):
 - Does not require any probabilistic modeling.
 - Determines a solution that guaranties feasibility for any realization within the bounds of the uncertainty set.
 - Bounds can be given or calculated based on historical forecasts.
 - Uncertainty sets are able to relate the risk preference of the operator with the choice of the uncertainty set, incorporating probabilistic information if available.



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SUC EMS

• D. Olivares, J. D. Lara, C. A. Cañizares, and M. Kazerani, "Stochastic-Predictive Energy Management System for Isolated Microgrids," *IEEE Transactions on Smart Grid*, vol. 6, no. 6, November 2015, pp. 2681- 2693:





SUC EMS Example

- For the previous microgrid test system, the following study cases allow to validate and compare the SUC approach against the MPC+RCH deterministic approach:
 - Available storage capacity (B250, B500).
 - Scenario generation approach (historic and statistic ensembles).
 - Length of the SUC look-ahead window (8-hour and 12 hour) .



SUC EMS Example

- Cases with increased ESS capacity show a reduction of costs, due to a reduction in the use of diesel units.
- The Historical Data case shows the effect of a pessimistic representation of the uncertainty, yielding more conservative results with higher operation costs due to over commitment.
- Reduced look-ahead windows show poor performance in terms of operation costs, but without shedding load.
- The loss of load indices show that higher levels of ESS capacity yield lower values, thus improving the reliability of the system.



RUC EMS

- Proposed in Jose Lara's Sept. 2014 MASc thesis: "Robust Energy Management Systems for Isolated Microgrids Under Uncertainty"; paper in IEEE TSG is under review.
- The UC problem is modified to include storage, and consider the SOC of batteries at *t* = *t* +1 as first stage variables, thus using the ESS as hedging.
- The objective is to obtain the least-cost uncertaintyaware solution for the first-stage (UC) variables, given a bounded uncertainty set.



RUC EMS Example



- The microgrid test is based again on the CIGRE MV benchmark system and features 3 diesel units with capacities of 1750 kW, 310 kW and 800 kW.
- The two larger diesel units replace the connection to the main grid.
- The system's total capacity is 6,400 kW.
- The RUC load is modeled as constant power and balanced.
- In the three-phase OPF the load is unbalanced with a combination of constant impedance and constant power.



RUC EMS Example

| 0 | Hours | | | | | | | | | | | | | | | | | | | | | | | | |
|--------------|-------|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Case | g | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| DET | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DEI | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (6.2 - 27%) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (0.3 - 37 %) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (9.019/) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (8 -21%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| (9.279/) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (8 -37%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| (10, 019/) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (10-21%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (12 109/) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (13-10%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| (40.040()) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (16-21%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| (40,000) | G1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (16 -6.3%) | G2 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 |

- The commitment results for different uncertainty policies show the changes in the level of conservatism.
- The RUC formulation commits more capacity than the deterministic case between hours 12 to 24.
- The effect of the extra commitment are reflected in the reserve levels.



Affine Arithmetic

- Enhanced interval model for self validated numerical computing, in which system variables are modeled as affine forms of some "primitive" variables:
 - It keeps track of correlations between output and input quantities.
 - Resolves the Interval Arithmetic (IA) dependency problem and results in narrower intervals; for example, for interval $[\underline{x}, \overline{x}]$:
 - IA: $\hat{x} \hat{x} = [\underline{x} \overline{x}, \overline{x} \underline{x}]$
 - AA: $\tilde{x} \tilde{x} = 0$
 - Significantly more efficient than IA.
 - Affine representation of an uncertain variable:

$$\tilde{x} = x_0 + x_1\varepsilon_1 + x_2\varepsilon_2 + \dots + x_n\varepsilon_n$$



AA-based OPF

 M. Pirnia, C. A. Cañizares, K. Bhattacharya, and A. Vaccaro, "An Affine Arithmetic Approach for Microgrid Dispatch with Variable Generation and Load," *Proc. Power Systems Computation Conference*, August 2014, 7 pages:

$$\min F(\tilde{P}^G) = \sum_{i \in Th} \alpha_i \tilde{P}_i^{G^2} + \beta_i \tilde{P}_i^G + c_i$$

s.t.:
$$\Delta \tilde{P}_i \left(\tilde{e}_i, \tilde{f}_i, \tilde{I}_{r_i}, \tilde{I}_{im_i}, \tilde{P}_i^G, \tilde{P}_i^D \right) = 0$$
 $\forall i \in N$

$$\Delta \tilde{Q}_i \left(\tilde{e}_i, \tilde{f}_i, \tilde{I}_{r_i}, \tilde{I}_{im_i}, \tilde{Q}_i^G, \tilde{Q}_i^D \right) = 0 \qquad \forall i \in N$$

$$\left|\tilde{V}_{i}\right|^{2} = \tilde{e}_{i}^{2} + \tilde{f}_{i}^{2} \qquad \forall i \in N$$

- $P_i^{min} \leq \tilde{P}_i^G \leq P_i^{max} \qquad \forall i \in NPG$
- $Q_i^{min} \leq \tilde{Q}_i^G \leq Q_i^{max} \qquad \forall i \in NPG$

$$I_{ij}^{min} \leq \tilde{I}_{ij} \leq I_{ij}^{max} \qquad \forall ij \in L$$

 $V_i^{min} \le \left| \tilde{V}_i \right| \le V_i^{max}$

 $\forall i \in N$



AA-based OPF Example

- AA-based OPF is implemented and solved in GAMS for a similar isolated test microgrid test as before, based on the CIGRE MV benchmark system.
- The intermittency of wind and solar generation is assumed to be managed with thermal generation via continuous regulation.
- Results are compared with MCS, assuming uniform distribution.









AA-based OPF Example



Bus 9 Bus number



| | MCS | AA | % difference |
|------------------------------------|------|------|--------------|
| Total thermals upper bound (kW) | 2557 | 2715 | 6% |
| Total thermals lower bound (kW) | 2241 | 2007 | -10% |



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- Various EMS models for isolated microgrids have been developed, based on decoupling the problem in sequential MILP UC and NLP OPF problems, with different horizons and update rates.
- UC:
 - MPC:
 - Easiest to implement.
 - Adequate performance.
 - Results on lowest reserves but highest possible load shifting/shedding due to forecasts errors.
 - Stochastic programming:
 - More complex to implement but manageable.
 - Adequate performance if not "too many" scenarios.
 - Requires p.d.f. assumptions and proper selection of scenarios.
 - Results in more reserves and little load shifting/shedding.



- Robust optimization:
 - Even more complex to implement.
 - Adequate performance.
 - Does not require p.d.f. and user may define desired risk level through a budget of uncertainty Γ, which can be associated with intervals.
 - Reserves and load shifting/shedding depend on Γ value.
- AA (in the works):
 - Most complex implementation.
 - Performance and accuracy still to be determined.
 - Does not require p.d.f. and user may define desired risk levels through intervals.
 - Reserves and load shifting/shedding depend on chosen intervals.



- OPF:
 - RHC:
 - Relatively easy to implement.
 - Good performance.
 - Requires occasional UC revisions, which depend on system stress conditions.
 - AA:
 - There are 3 possible implementation approaches, with different levels of complexity and accuracy.
 - Performance depends on implementation.
 - Need for UC revisions should be less, depending on intervals chosen.



- The classical UC model has been implemented in Hatch's microgrid controller.
- The feasibility of embedding the OPF in a controller is still to be determined, but some preliminary studies have been carried out; however, studies to determine whether there is a need for modeling feeders are showing that OPF may not be necessary with an appropriate representation of unbalancing and voltage dependent loads in the UC.

